## Annexure S3 (Ver 2.0) Page 1 **Request for Scheme Preference Change** Protean eGov Technologies Limited (Formerly NSDL e-Governance Infrastructure Limited) (Please fill all the details in CAPITAL LETTERS & in BLACK INK only.) For POP-SP use: POP-SP Registration No. :\_ Receipt Date: Receipt No.: PRAN\* Name of the Subscriber \*: I. Scheme Preference Change: Tier I Tier II II. Investment Option: **Auto Choice** Active Choice Selection of Pension Fund is mandatory both in Active and Auto Choice. In case you select Active Choice fill up Section (III) below and if you select Auto Choice fill up **Section (IV)** on page 2. III. **Active Choice:** \*Name of the Pension Funds are given in alphabetical order. **PFM Name** Please Asset Class (Specify %) Tick (√) Total allocation across E, C, G and A asset classes must be equal to 100% C G (Tier I- Cannot exceed 75) (Max upto 100%) (Max upto 100%) (Cannot exceed 5%) (Tier II- Max upto 100%) Aditya Birla Sunlife Pension Management Limited Axis Pension Fund Management Limited **HDFC Pension Management Company Limited** ICICI Prudential Pension Funds Management Company Limited Kotak Mahindra Pension Fund Limited LIC Pension Fund Limited Max Life Pension Fund Management Limited SBI Pension Funds Private Limited TATA Pension Management Limited

#### Please note:

**UTI Retirement Solutions Limited** 

- Asset Class E- Equity and related instruments; Asset Class C- Corporate debt and related instruments; Asset Class G- Government Bonds and related instruments; Asset Class A- Alternative Investment Funds including instruments like CMBS, MBS, REITS, AIFs, Invits, etc.
- 2. # Option to Asset Class- A is available only for Tier I. In case, you have filled up Asset Class- A for Tier II, application shall be summarily rejected.
- Subscriber can select different PFM for different asset class. However, for asset class A, PFM should be from other asset class only. For example:
  - Subscriber can select PFM 'X' for asset class E, PFM 'Y' for asset class C and PFM 'Z' for asset class G. In such case, PFM for asset class A must be from PFM 'X' or 'Y' or 'Z' only (Refer illustration (i) below).
  - Subscriber can select PFM 'X' for asset class E & C and PFM 'Y' for asset class G. In such case, PFM for asset class A must be PFM 'X' or 'Y' only (Refer illustration (ii) below).

## Illustration (i)

| PFM Name | P  | (%) |    |   |
|----------|----|-----|----|---|
|          | Е  | С   | G  | Α |
| PFM 'X'  | 50 |     |    |   |
| PFM 'Y'  |    | 30  |    |   |
| PFM 'Z'  |    |     | 15 | 5 |

#### Illustration (ii)

| PFM Name | Asset Class (%) |    |    |   |  |
|----------|-----------------|----|----|---|--|
|          | E               | С  | G  | Α |  |
| PFM 'X'  | 50              | 30 |    |   |  |
| PFM 'Y'  |                 |    | 15 | 5 |  |
| PFM 'Z'  |                 |    |    |   |  |

|   |                             |  |                               | Page 2  |  |
|---|-----------------------------|--|-------------------------------|---------|--|
| IV. Auto  | o Choice:                   |  |                               |         |  |
|   |                             |  |                               |         |  |
| *Name of the Pension Funds are given in an alphabetical order.  PFM Name (Please select only one) |                             |  | Please Tick (√) only one      |         |  |
|   |                             | Sunlife Pension Management Limited   |                               |         |  |
|   | Axis Pension                | Fund Management Limited  |                               |         |  |
|   | HDFC Pensi                  | on Management Company Limited  |                               |         |  |
|   | ICICI Pruden                | tial Pension Funds Management Company Limited  |                               |         |  |
|   | Kotak Mahin                 | dra Pension Fund Limited   |                               |         |  |
|   | LIC Pension                 | Fund Limited   |                               |         |  |
|   | Max Life Per                | sion Fund Management Limited   |                               |         |  |
|   | SBI Pension                 | Funds Private Limited  |                               |         |  |
|   | TATA Pensio                 | on Management Limited  |                               |         |  |
|   | UTI Retireme                | ent Solutions Limited  |                               |         |  |
|   |                             |  |                               |         |  |
| Life Cycle (LC)<br>Funds  | Please Tick (√)<br>Only One | <u> </u>   |                               |         |  |
| LC75  |                             | Note: 1. LC 75- It is the Life cycle fund where the  |                               |         |  |
| LC50  |                             | <ol> <li>LC 50- It is the Life cycle fund where the Cap to Equity investments is 50%</li> <li>LC 25- It is the Life cycle fund where the Cap to Equity investments is 25%</li> </ol> |                               |         |  |
| LC25  |                             |  |                               |         |  |
| CRA generated Ack   | nowledgement Num            | ber (To be filed by POP-SP):   |                               |         |  |
|   |                             | For POP/POP-SP use:  | POP/POP-SP Stamp:             |         |  |
|   |                             |  | ·                             |         |  |
| Subscriber's Signature/ Name & Signature  |                             |  |                               |         |  |
|   | Thumb Impression            |  |                               |         |  |
|   |                             | Acknowledgement to the Subscriber  |                               |         |  |
| To be filled by POP/POF   | P-SP)                       |  |                               |         |  |
| scheme Preference cha   | ange: Ti                    | er I Tier II   |                               |         |  |
| OP-SP Registration Nu   | mber:                       | PRAN:  |                               |         |  |
| lame of the Subscriber:   |                             | Date://  | Time Stamp:                   |         |  |
| Receipt Number To be provided by POP/POP-SP)  |                             |  |                               |         |  |
| . POP Transaction Charges: Rs. 2. Tax as applicable:  |                             | Rs.  |                               |         |  |
| . Total Charges:  | Rs.                         |  |                               |         |  |
|   |                             |  | Signature/Stamp of POP/POP-SF | )/Place |  |
|   |                             |  | Signature/Stamp of FOF/FOP-SF | /i idut |  |

# Instruction for filling the Form:

- All fields mark with (\*) are mandatory. All Dates should be in DDMMYYYY Format. The Subscribers shall submit the application to POP-SP through which they have registered with CRA.
- Separate forms to be submitted for Tier I and Tier II
- For more information on scheme preference, Active Choice/ Auto Choice, please refer scheme information available on <a href="https://www.npscra.nsdl.co.in">www.npscra.nsdl.co.in</a> or PFRDA website <a href="https://www.pfrda.org.in">www.pfrda.org.in</a>.